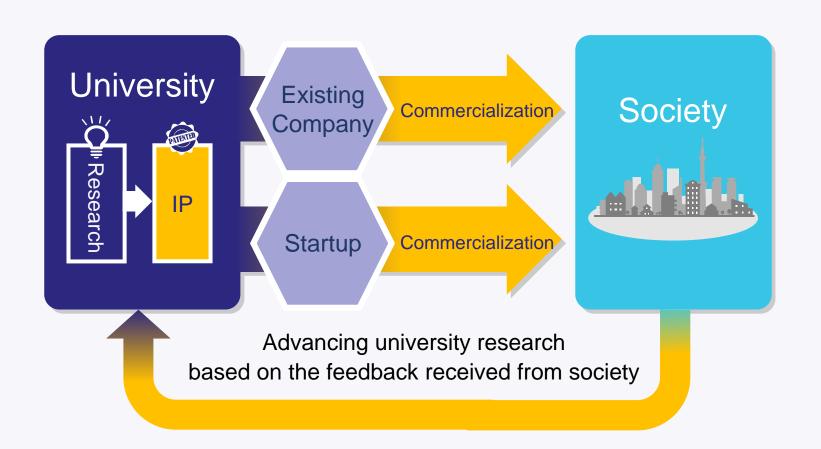
WIPO Regional Conference November 26, 2019

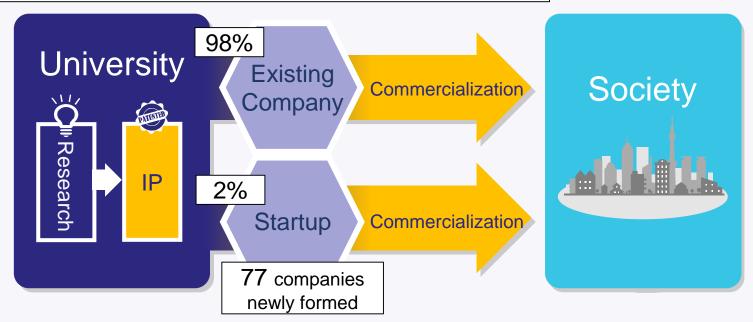
Overview of Standard University IP / Technology Transfer and Commercialization Process in Japan

Kosuke Kato, PhD, RTTP Associate Professor, Co-Creation Bureau, Osaka University, Japan. One of the important missions of a university: Commercializing science and technology to create impacts in society and the economy



Summary of university science / technology commercialization in Japan: FY 2017 [1]

1,791 staff at Tech. Transfer Office or University-Industry Collaboration Office



USD 24b
academic
research
expenditure on
science &
technology

8,141 disclosures

6,574 national patent applications

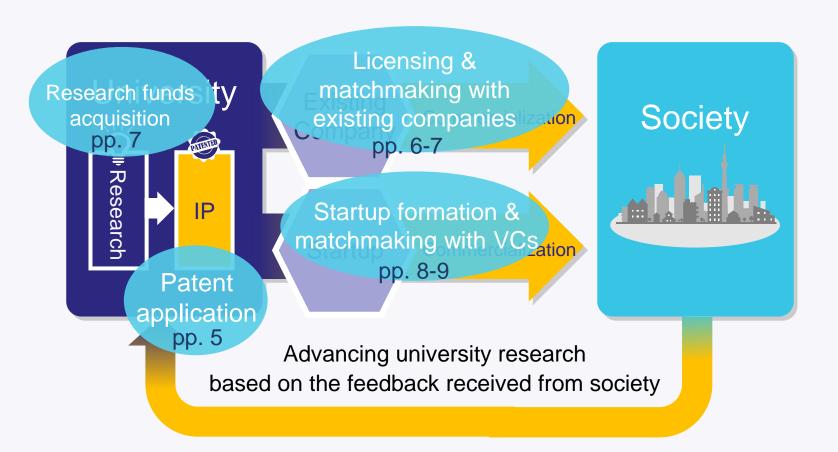
3,430 licenses/options newly executed

8,390 licenses/options currently active

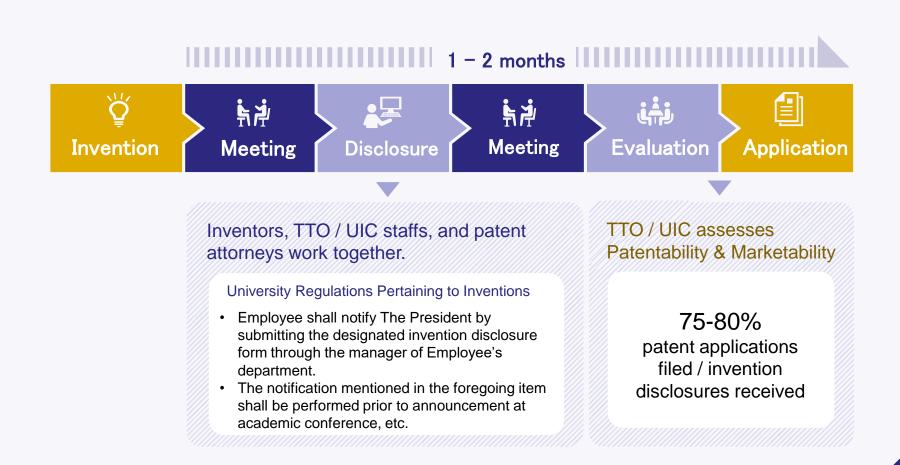
USD 48m adjusted gross licensing income received

250
IP licensed product commercialized

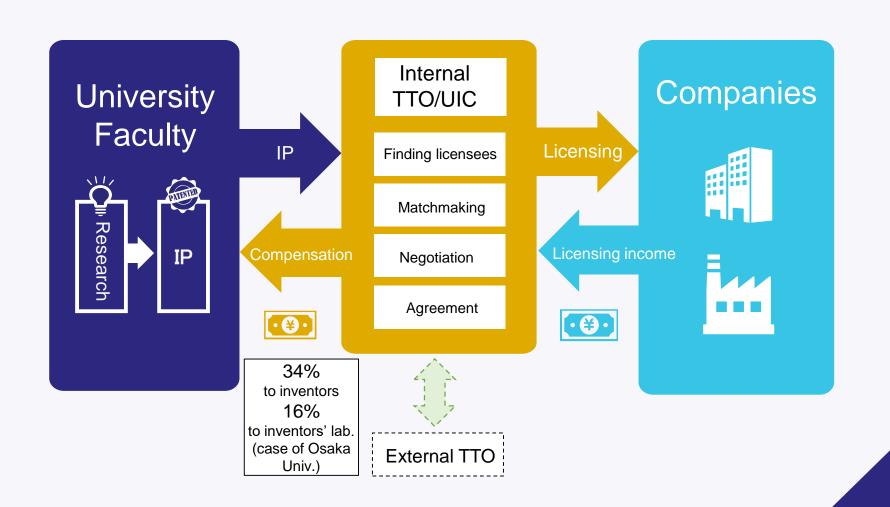
Typical functions of university TTO (<u>Tech. Transfer Organization</u>) or UIC (<u>University Industry Collaboration office</u>) to commercialize science and technology



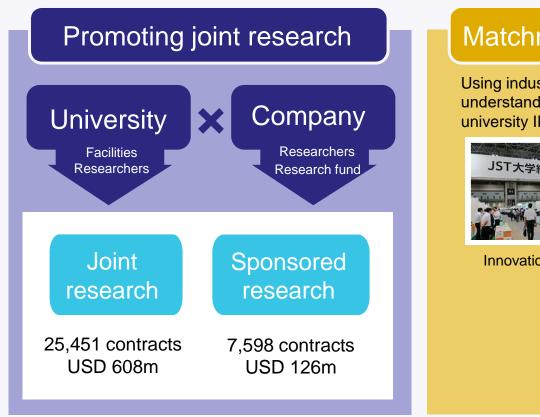
Typical process followed from invention to patent application at Japanese universities



Typical process for licensing university IP / technology to companies



Promoting joint research & matchmaking with companies & supporting research funds acquisition





^{*} Some university TTOs / UICs also supports faculty members' public research fund acquisition / management to accelerate science / technology commercialization.

Typical functions of TTO / UIC to launch / promote university science / technology-based startups

- Supporting market research
- Matchmaking with VCs (<u>Venture Capital firms</u>)
- Providing entrepreneurship education programs
- Supporting patent search and patent application
- Providing IP expenses
- Lending facilities and incubation laboratories
- Supporting acquisition of government's gap funds
- Implementing own institutional gap fund program



Gap fund program to promote startups: toward effective development of science / technology-based projects that enables them to raise an initial investment from VCs (case of Osaka Univ.)

	Proof of concept/Prototyping program	Startup formation program
Purpose	Bridging the gap: the last two miles toward raising an initial investment from VCs	Bridging the gap: the last one mile toward raising an initial investment from VCs
Term	< 1 year	< 2 years
Fund size	< 50K US\$/project	< 400K US\$/project, year
Typical use of funds	 Proof of concept Prototype development IP expenses Marketing and customer development 	 Labor costs for researchers and management teams Prototype development IP expenses Marketing and customer development Facilities: negotiable



Government fund

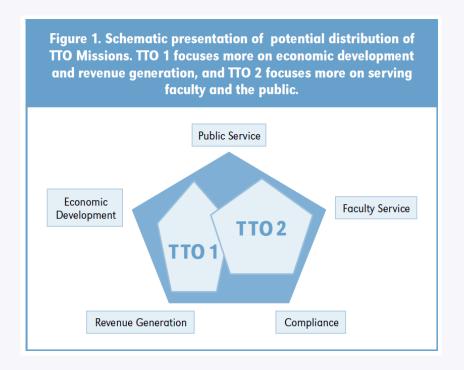
Basic research

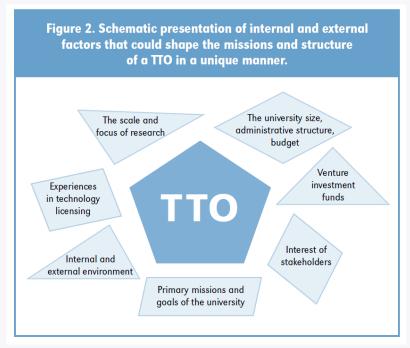
University Gap Fund Program

Investment from VCs

Important note on distribution of TTO / UIC's missions: different among universities^[2]

- Multiple success models can be designed for a university TTO / UIC.
- How can you maximize your TTO / UIC's reputation?





Thank you for listening. Questions?

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