

Update of the Authority File Portal

CWS/10 Item 13(a)

WIPO Authority File Portal (1)

- An authority file is a complete list of patent documents published by an IP Office.
- WIPO Standard ST.37 defines which data elements should form part of an authority file in one of two recommended formats : text and XML.
- Each IPO entry includes:
 - the authority file itself (TXT or XML or ZIP file)
 - optional definition file (see Annex I)
 - data coverage of authority file (e.g., from 1990 onwards)
 - any relevant remarks (e.g., update frequency)

WIPO Authority File Portal (2)

- The Authority File Portal is available on the WIPO website as part of the WIPO Handbook in 3 languages:
 - https://www.wipo.int/standards/en/authority_file.html
(English)
 - https://www.wipo.int/standards/fr/authority_file.html
(French)
 - https://www.wipo.int/standards/es/authority_file.html
(Spanish)

Conclusion of Authority File Task Force

- At CWS/9 the Authority File Task Force was disbanded as no further revisions to WIPO ST.37 are planned
- WIPO thanks again Member State participation and in particular the leadership of the EPO
- The latest version of WIPO ST.37 is version 2.2
- Future revisions to WIPO ST.37 will be considered under Task No. 33 (“Ongoing revision of WIPO Standards”)

Progress on Authority File Portal

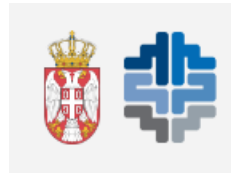
- In February 2022, the Authority File Portal Guidelines were published to support production of AFs by IPOs
- Circular requesting annual update sent at the same time
- Three updates to the portal published since CWS/9: April, July and October 2022
- New entries from Croatia, Estonia, Israel & Lithuania
- The current participants are shown on the next slide. The majority of Offices still provide their authority file data in TXT format but five Offices provide in XML format.

Current list of participants (29)



five IP offices

European Patent Office /// Japan Patent Office ///
 Korean Intellectual Property Office ///
 National Intellectual Property Administration, PRC ///
 United States Patent and Trademark Office



Australian Government
 IP Australia



FINNISH PATENT AND REGISTRATION OFFICE



Collaboration with Patentscope

- This year, Standards and Patentscope teams have been collaborating to encourage Offices to provide WIPO ST.37 compliant authority files.
- We agreed to work together in a pilot to support one Office to produce an ST.37 compliant authority file in accordance with the new guidelines which were published
- Our first pilot is with the Belgian Office who are working with European Dynamics to produce both their backfile but also an ST.37 compliant authority file in XML format
- After this our pilot will expand to the other two Benelux countries (Netherlands and Luxembourg)

Next Steps

- **February 2023:** International Bureau requests via Circular for Offices to provide annual update in March
- **Suggestion (1):** Offices who are part of the PCT Minimum Documentation should start considering the additional indicator fields and how to implement them. The deadline for inclusion of these additional fields is 2026.
- **Suggestion (2):** Offices interesting in participating in a pilot to support their production of an authority file should contact us at standards@wipo.int

Questions?

- Any questions on WIPO Standard ST.37 or the Authority File portal can sent to WIPO via: standards@wipo.int