

"Establishment of IP Tribunals in Pakistan"



Muhammad Ismail Deputy Director IPO-Pakistan

11th ACE Session WIPO-HQs, Geneva 5-7 September, 2016

Outlines



- Establishment of IP Tribunal
- Powers of IP Tribunals
- Advantages of IP Tribunals

Intellectual Property Tribunals



- Independent IP Tribunals established for speedy adjudication in Islamabad, Karachi and Lahore
- Appointment of Presiding Officers of IP Tribunals accomplished
- Fully functional in Lahore
- Karachi and Islamabad start functioning in near future

Powers of Intellectual Property Tribunals



(Section 17 of IPO Act, 2016)

- IP Tribunal will be a court for all purposes and intent and will have all the powers of District and Session Courts (in the light of Article 175 of the Constitution IP Tribunals could not be established in equivalence to a High Court);
- In exercise of its civil jurisdiction, the Tribunal shall have all powers vested in a civil court under the Code of Civil Procedure, 1908.
- In exercise of its criminal jurisdiction, the Tribunal shall have all powers vested in a Court of Sessions under the Code of Criminal Procedure, 1898.
- The Tribunal shall have jurisdiction to entertain all suits and other civil proceedings regarding infringement of intellectual Property rights under the respective laws.

Advantages of Specialized IP Tribunals



- Creation of subject matter experts/expertise
- Effectiveness of decisions
- Ability to create special court procedures to enhance efficiency and accuracy
- Consistency and predictability of case outcomes
- Progressive or dynamism



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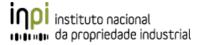
ADVISORY COMMITTEE ON ENFORCEMENT



INTELLECTUAL PROPERTY COURT



WIPO - 06-09-2016



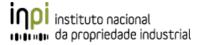
Agenda:

- 1) STAFF
- 2) COMPETENCE
- 3) VOLUME OF CASES
- 4) RESULTS



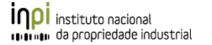
GENERAL ASPECTS:

- 1- Established in 2011, located in Lisbon, started working in April 2012;
- 2- Started with just one judge;
- 3- By September 2014 IP Court received all pending cases.



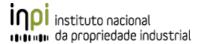
STAFF:

- a) 4 + 1 Judges
- b) 1 Public prosecutor
- c) 11 Support staff



COMPETENCE:

- 1) IPRs
- 2) Internet domain names or trade names
- 3) Copyrights



VOLUME OF CASES:

04/2012 to 08/2014

309 - Appeals from INPI

514 - Declaratory actions

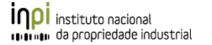
325 - Provisional measures

09/2014 to 08/2015

1005 - Appeals from INPI

550 - Declaratory actions

253 - Provisional measures

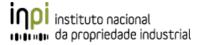


VOLUME OF CASES:

Decisions (appeals from INPI)

04/2012 to 08/2016

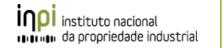
2013	245
2014	610
2015	695
2016	180



RESULTS:

- a) Judicial specialization of judges
- b) Consistency of judgements
- c) Faster resolution of disputes

ENFORCING INDUSTRIAL PROPERTY RIGHTS IN PORTUGAL



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MECHANISMS TO RESOLVE
INTELLECTUAL PROPERTY DISPUTES
IN A BALANCED, HOLISTIC AND EFFECTIVE MANNER

The Experience of the Courts of the Russian Federation

Mr. Vyacheslav V. Gorshkov

Judge of the Supreme Court of
the Russian Federation,

Head of a panel of judges for civil law disputes

Introduction

- 2008: Part IV of the Civil Code of the Russian Federation is introduced, becoming the main source of codified regulation for the legal protection of IPR in Russia.
- Significant changes have taken place within the structure of the judicial system as well.

The Supreme Court of the Russian Federation

Moscow City Court

Adopts provisional measures to protect copyright and/or related rights in informational and telecommunications networks (e.g. the Internet). As a court of first instance, considers those cases in which it adopted provisional measures.

Courts of constituent entities

As courts of appeal, check the legality of court decisions that have not yet entered into force.

As courts of cassation, check the legality of effective court decisions.

District courts

Courts of first instance:
resolve disputes
pertaining to the
protection of IPR, arising
between citizens, legal
persons and the state.

Court of Intellectual Property Rights (specialized court)

Acts as a court of first instance and as a court of cassation.

Arbitration courts of appeal Check the legality of court decisions that have not yet entered into force.

Arbitration courts of
constituent entities
Courts of first instance: resolve
economic disputes, including
those pertaining to the
protection of IPR.

Court of Intellectual Property Rights

- Created by Federal Constitutional Law No. 4 of 6th December 2011.
- As a court of first instance, hears cases regarding the challenge of normative legal acts of federal executive bodies pertaining to the protection of intellectual property, cases regarding the granting or termination of legal protection of intellectual property.
- As a court of cassation, reviews cases considered by it in first instance; cases regarding the protection of IPR, considered by arbitration courts of constituent entities in first instance or considered by arbitration courts of appeal.
- The staff of the court includes specialists in natural and technical sciences, as well as in other spheres of knowledge.
- Can draw different specialists to participation in the proceedings and issue requests for expert assistance.
- In 2015: 703 cases considered in first instance, 1451 appeals considered in cassation.

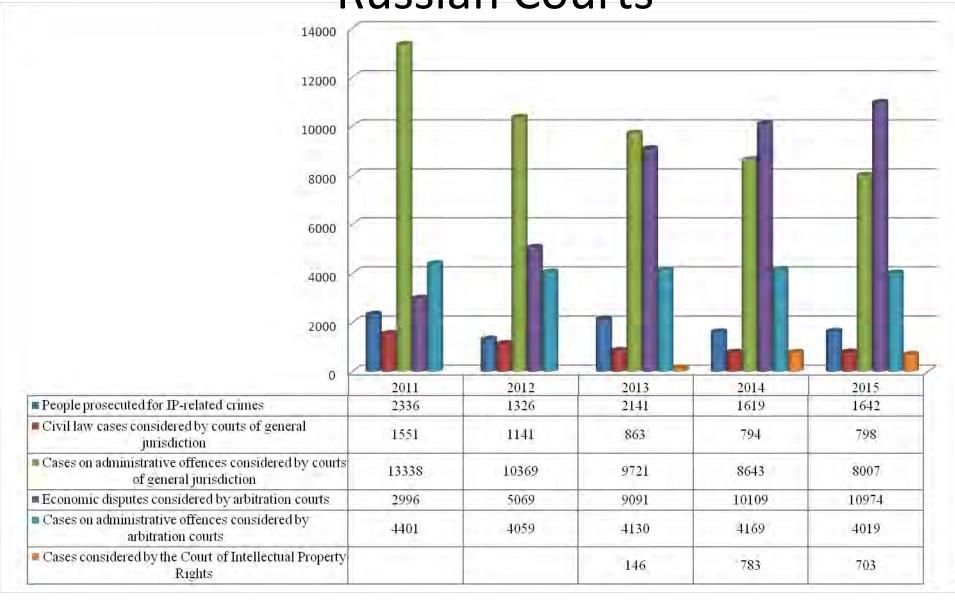
Moscow City Court

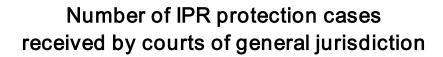
- Starting 1st August 2013, considers cases regarding the protection of IPRs on films. In November 2014 the list of protected objects was significantly enlarged it now comprises all objects of copyright and related rights, except for photography and analogous objects.
- Following applications of any persons, the court adopts rulings regarding provisional measures, published on its website on the following day. Within 15 days after the adoption of provisional measures, the claimant may file a corresponding lawsuit.
- As a result of swift removal of illegal content following the adoption of provisional measures, it may become unnecessary for the claimant to submit a statement of claim and go into full trial.
- From August 2013 to April 2016 the court has received over 1100 applications; provisional measures were adopted to satisfy 785 applications.

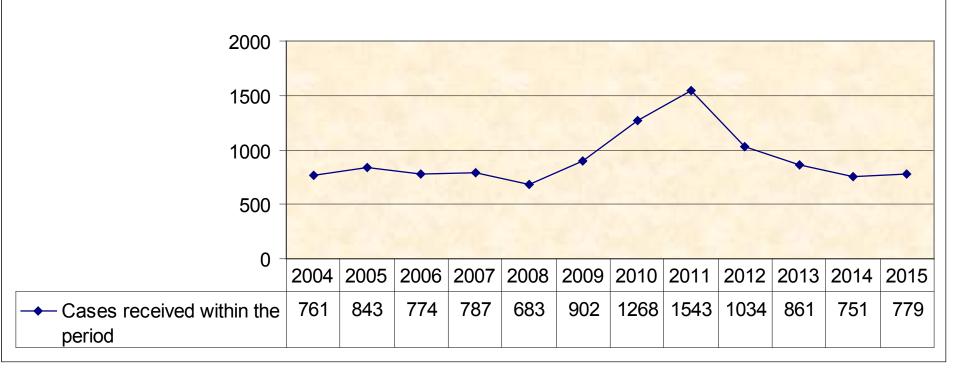
The Supreme Court of the Russian Federation

- In accordance with Federal Constitutional Law No. 2 of 5th February 2014, the Court is the highest judicial instance for both the courts of general jurisdiction and the arbitration courts.
- One of the Court's tasks is to safeguard the uniformity and stability of judicial practice in different types of disputes, including IPR disputes.
- The Court's clarifications on issues of judicial practice in civil cases, economic disputes, criminal, administrative and other disputes are obligatory for lower courts.
- The Court performs procedural review, in particular in IPR cases.

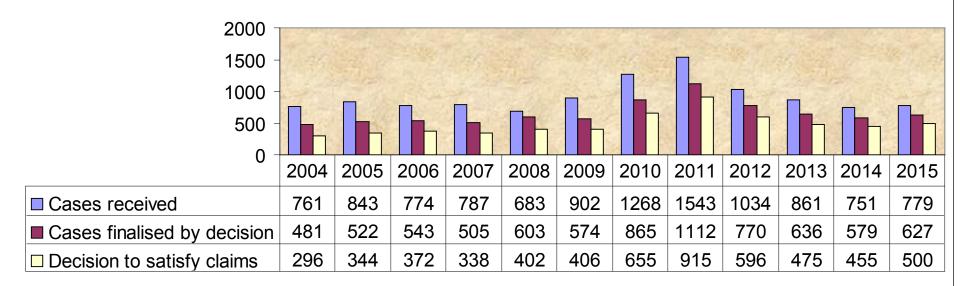
Cases on IPR protection, considered by Russian Courts





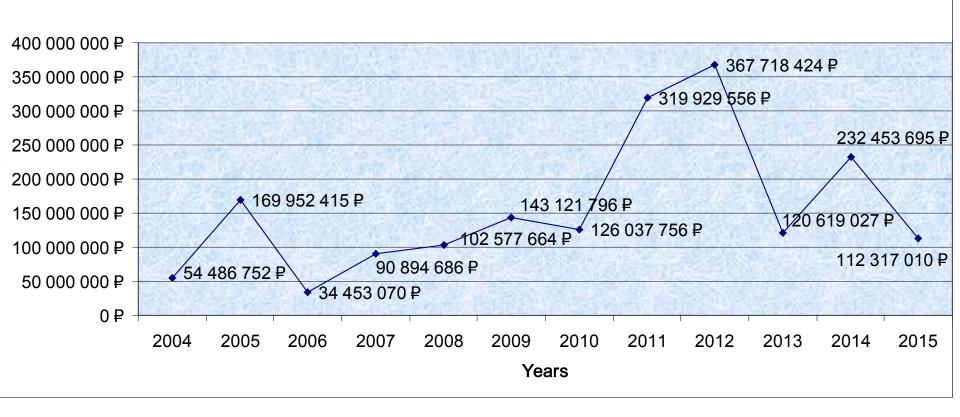


IPR protection cases considered by courts of general jurisdiction

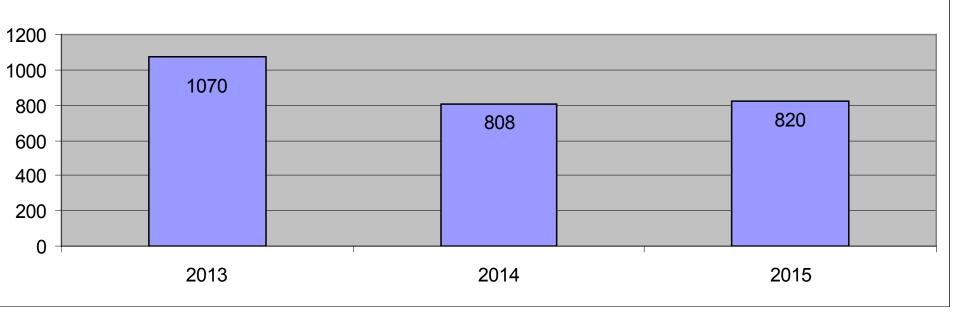


■ Cases received ■ Cases finalised by decision □ Decision to satisfy claims

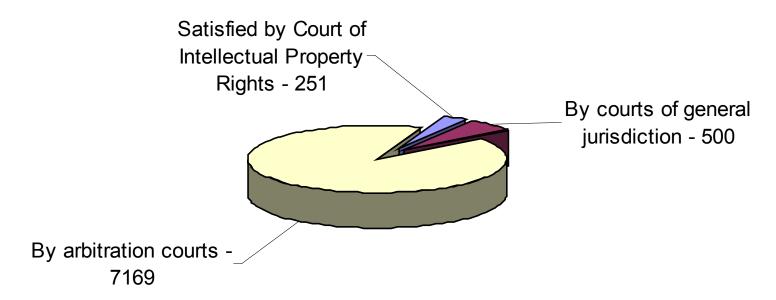
Awards adjudicated by courts of general jurisdiction, where IPR protection were satisfied



People prosecuted in accordance with Art. 146 of the Criminal Code for breach of copyright and neighbouring rights

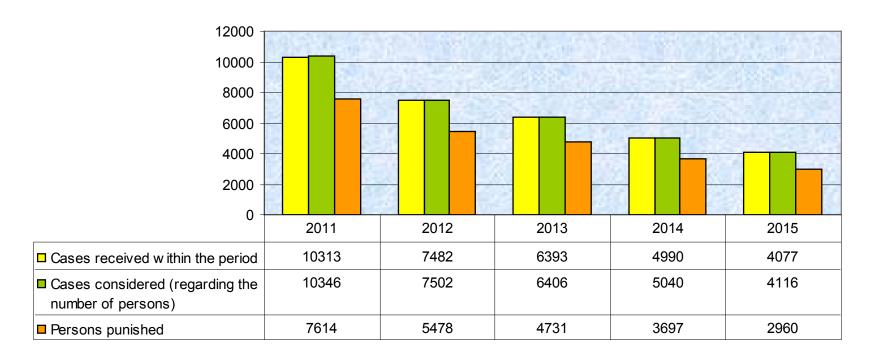


In 2015, courts satisfied claims in 7920 cases on protection of consumers' rights

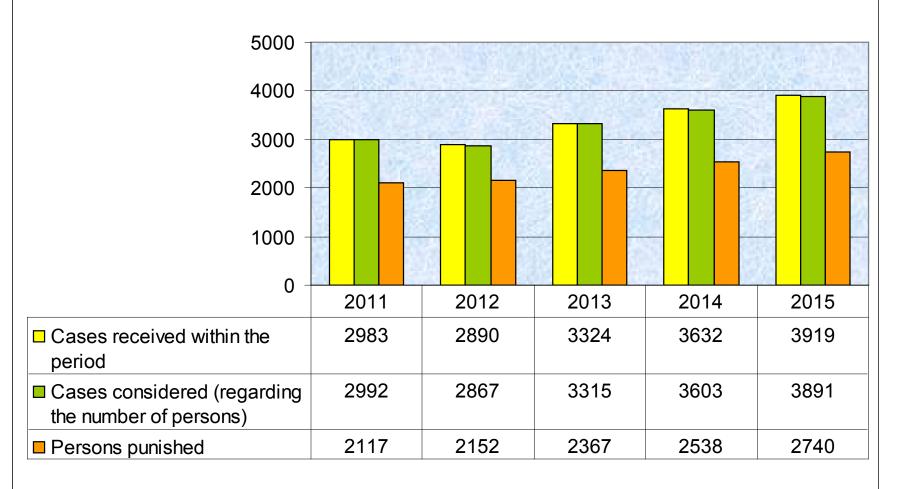


Cases on infringement of copyright and neighbouring rights, inventor's and patent rights, considered by courts of general jurisdiction

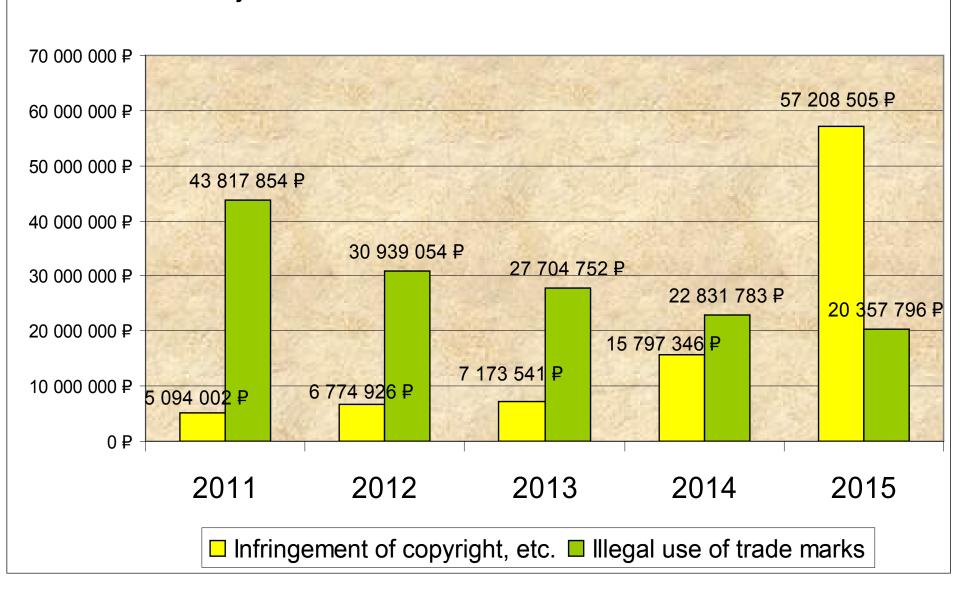
(administrative offence, Art. 7.12 of Adminsitrative Offences Code)



Cases on illegal use of trade marks considered by courts of general jurisdiction (administrative offence, Art. 4.10 of Administrative Offences Code)



Amounts of fines appointed by courts of general jurisdiction for administrative offences





RESOLVING INTELLECTUAL PROPERTY
DISPUTES IN A BALANCED, HOLISTIC AND
EFFECTIVE MANNER – A SOUTH AFRICAN
EXPERIENCE

INTRODUCTION

TRIPS ART 41.4

No obligation on member states

- for a special judicial system for enforcement of IPRs or
- for special allocation of resources for IPR enforcement



- Enforcement tribunals are judicial
- Not concerned with administrative tribunals, such as
 - patent or
 - trademark registration offices
- which deal with the grant of IPRs

South Africa is . . .

RSA is a

- developing country
- with certain unique African cultural values
- Anglophone
- Procedural law based on English common law principles
- draws a clear distinction between civil and criminal enforcement

South Africa is not . . .

What follows does not deal with what is perceived to be good or best practices for

- developed countries
- o countries with different circumstances, or
- countries with a civil-law tradition



- No formal policy on enforcement structures
- Policy deduced from existing structures
- IPRs are not superior to other legal rights
- No constitutional right to an IPR
- IPRs enforcement is dealt with accordingly



- Limited to counterfeiting and copyright piracy
- Lower courts
 - o commercial divisions of those courts
- High court: theoretically possible
- Ordinary appeal structures



- Trademark: High Court
- Copyright: invariably High Court
- Patent: Commissioner of Patents
- Designs: Commissioner of Patents
- Appeals: ordinary structures
 - Supreme Court of Appeal
 - Constitutional Court



- Not a permanent court
- Cases allocated to a high court judge who sits pro tem as Commissioner of Patents
- Intention is that judges with IP experience or some technical background should be nominated for these cases
- Jurisdiction covers the country as a whole
- Sits in Pretoria



- Limited IPR training at university level
- Seldom previous practical experience of IPR litigation
- No technical background

DOES IP ENFORCEMENT REQUIRE A SINGLE DEDICATED COURT STRUCTURE?



- Specialized courts have no therapeutic value without more
- Effective IP enforcement requires:
 - building respect for IPRs
 - recognizing the rights of the public
 - Sustainable structures
 - proper law enforcement at all levels



- Cf Traffic Law
- No commonality between
 - Patents
 - Trademarks
 - Copyright.
- Some commonality between
 - Patents and
 - Designs

COMPLEXITY

- Supposed justification
- Hoexter Commission
 - IP law can be mastered by ordinary mortals
 - Copyright and trademarks not complex
 - Patent law not complex
 - Specialization may lead to tunnel vision



- Facts may be complex/technical
- But covers whole spectrum of applied science and technology:
 - Micro-biology
 - Nanotechnology
 - Mechanical engineering
- No court that can be qualified a priori to deal with all fields of science and technology

PRACTICAL ASPECTS FROM A LOCAL PERSPECTIVE

Factors

- Not affordable or feasible
- General lack of resources (human, financial and structural)
- Low IP case load
- Centralized IP court makes access to justice illusory
- IPR not a special priority
- Criminal: general level of criminality

POSITIVES

- A generalist judiciary has to deal with many technical matters
- Diversion to "expert" judges
- Specialist IP practitioners
- Judicial training
 - Companies and Intellectual Property
 Commission (in conjunction with WIPO)
 - Office of the Chief Justice

CONCLUSION

- The present South African structure has served us well
- No real justification to move to specialist enforcement structures
- BUT as circumstances change a rethink may be required

Justice Louis Harms

- Former Deputy President of the Supreme Court of Appeal of South Africa;
- Extraordinary Professor at the University of Pretoria, South Africa;
- Honorary Bencher of the Middle Temple, London, United Kingdom of Great Britain and Northern Ireland
- The views expressed are those of the author and not necessarily those of the Secretariat or of the Member States of WIPO.

The Experience

of

the Thai Central Intellectual Property and International Trade Court (CIPITC)

Thammanoon Phitayaporn

Deputy Chief Justice

The Central Intellectual Property

and International Trade Court

ABOUT THE CIPITC

- Opened on Dec 1, 1997
- A specialized court to consider

Intellectual Property and International Trade

disputes

- A trial court that considers both civil and criminal cases.
- The CIPITC is located in Bangkok and has jurisdiction throughout Thailand.

- A panel consists of 2 judges and 1 associate judge.
- The CIPITC's judgement can be appealed to the Supreme Court.

- 5,105 IP cases
 (309 civil cases, 4,796 criminal cases) (In 2015)
- 19 Judges 157 associate judges (As of June 22, 2016)

SPECIALIZATION OF THE CIPITC

 The establishment of the CIPITC as a specialized court for IP cases provides for more uniformity than when decisions were made by general courts in various jurisdictions.

SPECIALIZATION OF JUDGES

• The appointment of a judge to the CIPITC is based on expertise in IP law. Judges with primary responsibility to the CIPITC, not having other trial work, such as in general courts, are able to develop expertise.

 This contributes to the quality and timeliness of the court's decisions in a way not possible prior to the establishment of the CIPITC, when judges were required to serve in a diverse range of court assignments.

SPECIALIZATION OF ASSOCIATE JUDGES

 The legislation allows for the selection of a qualified expert member, with IP expertise, to serve as associate judge working together with assigned judges to decide cases for the court.

SPECIALIZATION OF ASSOCIATE JUDGES

Examples:

- 1. Engineers to decide matters related to invention patent claims.
- 2. Pharmacists or others knowledgeable about drugs to decide matters relating to medicinal patents.
- 3. Those with expertise in computer programming to decide matters relating to copyright in computer software.

EXPERT WITNESSES

 The CIPITC may wish to call in an expert witness to provide comments for the court's consideration.
 The court's actions in this regard will not prevent the parties from asking permission to the court to bring in witnesses to offer expert testimony for the parties. The use of expert witness offers another type of mitigation in the event of a case that requires specialized expertise.

PROCEDURAL LAW AND COURT RULE

 The law gives authority to the CIPITC Chief Justice to institute a Court Rule relating to proceedings and hearings, instituting standard measures for deliberation of IP cases. Some measures prescribed by the legislature include:

Interim Orders prior to Lawsuits: The CIPITC is authorized to issue injunctive relief before filing a lawsuit, in cases where the defendant may not be in a position to provide restitution or where it may be difficult to enforce the rights at a later stage.(The party petitioning for injunctive relief must initiate legal proceedings within 15 days of the court order, or within a period specified by the court.)

 Orders to Internet Service Providers (ISPs) for Blocking Access to Materials Protected by the Copyright Law:

Under the copyright legislation, the CIPITC can issue injunctive orders for ISP action to block user access to internet content.

Orders for Taking Evidence prior to Lawsuits: The CIPITC can issue orders to take in and log evidence prior to litigation in circumstances where the evidence is at risk of being lost or may be difficult to obtain at a later point in time. This authority includes the power to order the seizure or to impound documents and objects to be used as evidence.

Delivery of Copy of Complaint and Summons by International Express Mail: Where the defendant is abroad and there is no international agreement between Thailand and the country where the defendant is domiciled, courts in general, including the CIPITC, are authorized to order that copies of the complaints and summons be delivered via international express mail

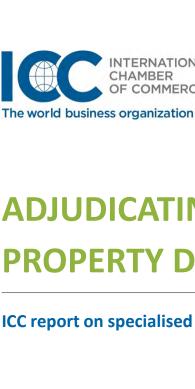
TECHNOLOGY

 Video Conferencing: The court may hear witness testimony by means of video conferencing, for witnesses located in another province or country • <u>Digital Testimony Recording System</u>: Thai courts generally use a system of recording where witness testimony given under direction of a judge is captured by written documentation, read back to the witness and litigant parties to affirm validity.

 The CIPITC is one of a number of pilot courts that are testing a system for the digital recording of oral testimony. The digital testimony recording system records an audio file of the original testimony. Judges using the system may elect to print some or all parts of the testimony and use this as written record.

 E-filing: The CIPITC has an e-filing system for use by litigants intending to file pleadings and other documents to the court. The parties can register with the CIPITC to deliver litigant pleadings and various other documents to by e-mail. This system is currently used by litigant parties on a voluntary basis only.





POLICY AND BUSINESS PRACTICES

Commission on Intellectual Property

ADJUDICATING INTELLECTUAL PROPERTY DISPUTES

ICC report on specialised IP jurisdictions (SIPJs) worldwide

6 September 2016 | Advisory Committee on Enforcement: Eleventh Session WIPO, Geneva Eugene Arievich, Principal, Baker & McKenzie CIS Limited, Moscow A lead drafter of the ICC report



INTRODUCTION

Background

- Growth in IPR grants and registrations year by year, resulting in more IP disputes
- Increased discussion on efficiency, impartiality and predictability of court trials for IP disputes
- Some countries have established specialised IP jurisdictions (SIPJs) for resolving IP-related disputes, others are considering doing this



INTRODUCTION

The ICC report

- Study to assist countries on whether and how to establish or improve SIPJs and enhance efficiency and expertise in IP-related trials
- Report based on survey of ICC members information from parties and practitioners on litigation mechanisms in their countries
- Information obtained from 24 countries (Asia, Europe and North, Central and South America)

Aspects addressed:

- rationale for setting up SIPJs
- structure and competence of SIPJs
- composition of tribunals in SIPJs
- doctrines and rules of evidence of SIPJs
- rules for representation of parties and the execution of judgements in SIPJs
- Information summarised in tables with overview of situation in different countries.



EXISTENCE AND RATIONALE FOR SIPJS

19 out of the 24 countries surveyed have SIPJs:

Belgium, Brazil, Chile, China, France, Germany, India, Japan, Republic of Korea, Mexico, Peru, Portugal, Russia, Spain, Sweden, Switzerland, Thailand, United Kingdom and the United States

Albania, Costa Rica, Guatemala, Honduras and Ireland do not have SIPJs – with the exception of Ireland, respondents said there is need for and interest in establishing SIPJs

Main motivations for establishing SIPJs:

- developing IP expertise in specialized judges
- unifying court practice with respect to IP cases
- improving consistency of court judgments and predictability of litigation outcomes
- enhancing effectiveness of IP rights enforcement
- improving overall climate of respect, protection and enforcement of IP rights.



STRUCTURE AND COMPETENCE OF SIPJS

Forms of structural organization of SIPJs in countries studied (can coexist):

- Specialised chambers/divisions within existing civil or commercial courts, that hear IP cases exclusively or in addition to other disputes (majority of surveyed countries)
- <u>Separate stand-alone courts</u> specialised in IP cases (some countries)
- Administrative agencies dealing with IP cases through administrative procedures, and appellate boards reviewing invalidation actions (some countries)



STRUCTURE AND COMPETENCE OF SIPJS (2)

Competence of SIPJs varies with regard to:

- kinds of IP rights (some jurisdictions only hear patent or other cases)
- types of cases falling within jurisdictions' exclusive competence (some courts can hear only invalidation, or infringement, actions)
- In some jurisdictions there is monetary value threshold for certain courts to be competent

Appellate structure:

- SIPJs hear cases as either courts of first instance, appeal or last resort; ultimate authority often vested in higher court or even Supreme Court (non-specialised)
- In some countries decisions of national patent and trademark office on applications can be directly challenged before court of appeal



JUDGES, JURIES AND TECHNICAL EXPERTS

Types of judges in SIPJs:

- <u>legally qualified judges</u> with appropriate legal qualification;
- technically qualified judges appropriate legal + technical qualification;
- <u>lay judges</u> don't necessarily have legal qualification, citizens appointed to the tribunal through a specific process.
- Composition of boards of SIPJs regarding type of judges varies among the surveyed countries and among different instances within a country.
- Countries with SIPJs rely on legally qualified judges as at least part of the members of the competent tribunal.
- Few countries rely on technically qualified judges, and even fewer on lay judges.
- Apart from the US, none of surveyed countries with SIPJs rely on juries.



JUDGES, JURIES AND TECHNICAL EXPERTS (2)

Technical experts:

- Used in most surveyed countries
- Not members of the decision-making panel;
- Appointed by the tribunal or parties to support the tribunal;
- Like technically qualified judges, especially relevant when technical aspects play important role (e.g. most patent disputes) and in instances dealing with facts (i.e. usually first instance).



PROCEDURES IN SIPJS

■ Types of procedures:

- General civil or commercial courts general court procedure + specifics codified in relevant procedural and/or IP law
- Stand-alone IP courts similar to the above
- Administrative bodies specific administrative rules codified in relevant administrative and IP laws
- Wide consensus on basic principles and doctrines applied in IP-related procedures, including for provision of evidence.
- All surveyed countries with SIPJs allow preliminary injunctions in IP-related cases:
 - Ex-parte preliminary injunctions (vast majority);
 - Inter-partes preliminary injunctions (Japan and Republic of Korea).
- No special mechanisms for the execution of judgements SIPJs subject to normal routes of execution.



REPRESENTATION IN SIPJS

Individuals/entities authorised to represent parties before SIPJs:

- Attorneys-at-law
- Qualified IP practitioners who are not qualified attorneys (e.g. patent and trademark attorneys/agents)
- <u>Individuals/entities neither attorneys-at-law nor IP practitioners</u> (e.g. corporate in-house counsel or staff members, social organizations and individual citizens)

Some countries allow only one category of representatives (usually attorneys-at-law) to represent parties, others allow simultaneous representation

Increasing technical complexity of many IP cases: vital to ensure courts and representatives can attain necessary technical knowledge

Achieved in different ways: technical experts, IP practitioners and/or technically qualified judges.



CONCLUSIONS

Many countries around the world have established SIPJs:

- Different structures and mechanisms
- Similar or identical basic principles.

General conclusions from the study:

- SIPJs can improve efficiency and quality of IP-related litigation processes and outcomes
- Need for and most appropriate form of SIPJs depend on country needs and circumstances
- Proper trial mechanisms and judicial expertise are essential.



THANK YOU

ADJUDICATING INTELLECTUAL PROPERTY DISPUTES



Adjudicating intellectual property disputes: an ICC report on specialised IP jurisdictions worldwide

Available for download on the ICC website

For more information on the ICC Commission on Intellectual Property, contact Daphne Yong-d'Hervé, Chief Intellectual Property Officer, at dye@iccwbo.org or go to the ICC website.



WIPO ACE 11/7 — Geneva, Sept. 6, 2016

Specialized IP Courts Issues and Challenges

Prof. Jacques de Werra
Vice-Rector and Professor of Intellectual Property
and Contract Law



Specialised Intellectual Property Courts-Issues and Challenges

Jacques de Werra

With contributions by

Denis Borges Barbosa and Pedro Marcos Nunes Barbosa, Hong Xue, Shamnad Basheer and Susan Isiko Štrba



International Centre for Trade and Sustainable Development



www.ictsd.org/themes/innovation-and-ip/



Mechanisms to Resolve

Intellectual Property Disputes

in a *Balanced*, *Holistic* and *Effective* Manner

• (1) <u>Balance</u>

• (2) Holistic approach

• (3) Effectiveness



(1) Balance

Balance is at the core of the IP system

« *Recognizing* the need to maintain a balance between the rights of authors and the larger public interest, particularly education, research and access to information, as reflected in the Berne Convention, [...] » (Preamble, WIPO Copyright Treaty)



Balance

Balance should also be at the core of the IP judicial system

 « [...] enforcement procedures shall be applied in such a manner as to avoid the creation of barriers to legitimate trade and to provide for safeguards against their abuse » (art. 41 para. 1 TRIPS)



Balance

- IP litigation beyond IP counterfeiting/piracy cases
- Diversity of IP disputes
- Complexity / transversality of IP disputes

"There is a trend away from one off licensing of A to B, and towards multi-party know-how and IP arrangements in the context of bigger projects." (IP lawyer, France, in: Results of the WIPO Arbitration and Mediation Center International Survey on Dispute Resolution in Technology Transactions, 2013)



Mechanisms to Resolve

Intellectual Property Disputes

in a *Balanced*, *Holistic* and *Effective* Manner

• (1) Balance

• (2) Holistic approach

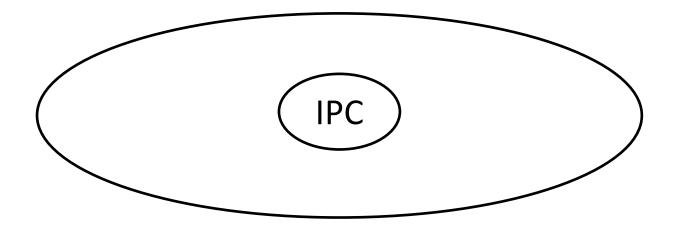
• (3) Effectiveness



(2) Holistic approach

 « dealing with or treating the whole of something or someone and not just a part » (Cambridge)

• IP courts (IPC) as a part of an ecosystem





Holistic approach: who?

Civil society

Industry

Society

Gvt bodies

Other courts

Academia

IPC

IP Offices

Lawyers

Litigants

Experts



Holistic approach: what?

Antitrust / competition law

Constitutional law

<u>IP</u> law

Civil and commercial law

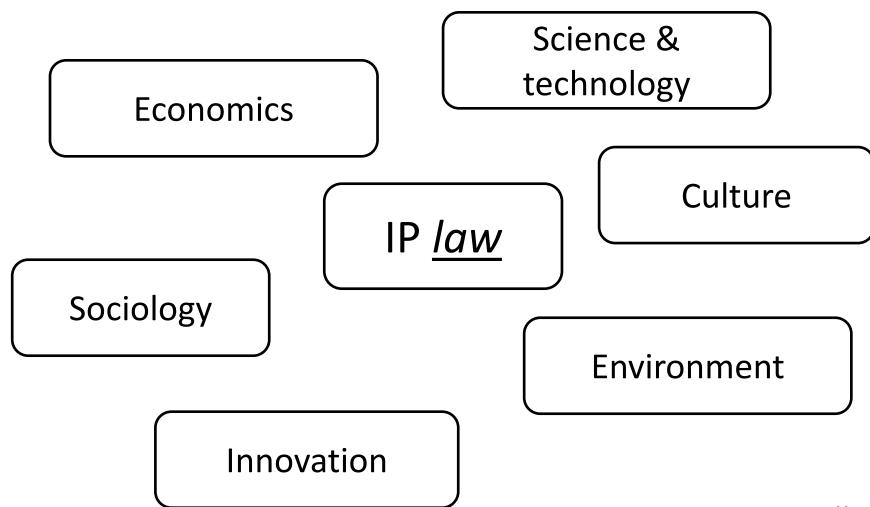
Contract law

Administrative & criminal laws

Unfair competition law and consumer law



Holistic approach: what (2)?





Holistic approach: when?

Supreme court

Appeal

IP Offices

IPC

ADR / Mediation
Arbitration

Pre-litigation: unjustified threats / preliminary opinions



Mechanisms to Resolve

Intellectual Property Disputes

in a *Balanced*, *Holistic* and *Effective* Manner

• (1) Balance

• (2) Holistic approach

• (3) Effectiveness



(3) Effectiveness

Quality

=> expertise

Consistency

=> transparency (e.g. databases)

Speed

Cost

=> for litigants & for countries

Accessibility



• IPC: one tool in the innovation policy toolbox



Importance of exchanges of information, best practices and education

Thank you for your attention

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